



## UNITED STRATEGIC INVESTORS GROUP

### ACCOUNT AGREEMENT

1. United Strategic Investors Group (USIG) is registered with the Commodity Futures Trading Commission (CFTC) as an Introducing Broker. USIG is also a member of the National Futures Association (NFA).
2. USIG maintains its executive offices at  
1926 Hollywood Blvd, Suite 311, Hollywood, FL 33020
3. USIG is a Florida corporation engaged in various aspects of the commodity business.
4. All USIG brokers are registered as Associated Persons.
5. USIG has a formal relationship with major Futures Commission Merchants (FCM). USIG introduces clients to an FCM for the purpose of safe-keeping clients' funds and the execution, clearing, and reporting of its clients' trades.
  - a) All clients' monies are held in segregated accounts by the FCM.
  - b) The FCM charges these accounts commissions. Such commissions may exceed those charged by other firms in the commodity industry. A substantial portion of these commissions are paid by the FCM to USIG. USIG pays a percentage of these commissions to its Associated Persons.
  - c) The opening of an account by the FCM does not constitute an endorsement or recommendation by the FCM of the trading advice or recommendation propounded by USIG.
  - d) The relationship of the FCM and USIG is employer and independent contractor, not employer and employee.
6. USIG will not be responsible for delays in the transmission of orders due to failure of transmission of communication facilities or any other cause beyond its reasonable control anticipation.
7. I/we/(it) agree(s) to pay any deficiency in this account.
8. I/we/(it) will not be paid interest on monies or other properties in this account.
9. I/we/(it) understand(s) that this investment is highly speculative. Accordingly, I/we/(it) agree(s) not to hold USIG responsible or liable for any losses which may be incurred, including what may arise from any recommendation or failure to recommend any action, unless based on USIG's intentional misconduct.
10. I/we/(it) acknowledge(s) that no guarantees of profit have been made in connection with entering into or maintaining this agreement.
11. I/we/(it) authorize(s) the FCM to pay to USIG commissions as indicated in Paragraph 5 above.

Date \_\_\_\_\_

Client's Signature X \_\_\_\_\_

Date \_\_\_\_\_

Client's Signature X \_\_\_\_\_

**NOTE: PLEASE MAKE CHECKS PAYABLE TO: Open E Cry LLC**



## **UNITED STRATEGIC INVESTORS GROUP**

### **FOR OUR MUTUAL PROTECTION**

It is a policy of United Strategic Investors Group (USIG) that all orders for the purchase or sale of any commodity futures contract or commodity options contract be placed by you directly. Your account executive is required to service your needs and in that pursuit often will make recommendations for you. We are providing a method by which you control all trading decisions for your own account. We require that at the time you place the first order for your account, a representative of our Compliance Department ask you a series of questions and obtain answers from you which assures us that you are aware of the nature of the transaction, the risks involved, the commissions charged, the break-even point and other matters relative to your account, and that you specifically authorize your order. This conversation will be recorded in written form, and by signing this form below, you will be consenting to the written record of that conversation. The company, United Strategic Investors Group, may tape any and all conversations as is necessary for the mutual protection of the customer and clients as well, and such tapes could and would be used to settle any disputes that may arise, thus customer should understand that your signature represents your consent to such possible tape recording.

Unless immediately advised to the contrary, USIG will assume that your answers to any of the questions posed by the Compliance Department or your account executive have not been prompted and are being given by you as frankly and honestly as possible. If your account executive suggests to you that you not answer the questions truthfully, we urge you to advise the Compliance Department immediately. Such representations are unauthorized and will be dealt with accordingly by management.

In determining whether to decide to commit funds to purchase commodity options, you must consider the following, in addition to the other risk disclosure statements and other account opening documents you have received and reviewed:

- a. A seasonal increase in demand for a specific commodity, such as heating oil and unleaded gasoline, in and of itself, will not necessarily result in increased value of an option on the given commodity;
- b. Past trends in futures prices on specific commodities do not necessarily forecast current profitability of options on futures contracts on those commodities;
- c. Currently known market news does not necessarily mean that a USIG customer will make money by trading through USIG, as currently known market news is usually already factored into the underlying futures price, as well as the option value;
- d. Except possibly for in-the-money options, a rise in the price of the underlying futures contract does not typically correlate on a one-to-one ratio with a rise in the price of an option on that futures contract;
- e. Stop-loss orders are not always effective in limiting risk of loss;



- f. Diversification of option positions does not necessarily limit risk of loss or increase profit potential for each option position purchased; and
- g. Under certain market conditions a customer may find it difficult or impossible to liquidate a position since market conditions on the Exchange where the order is placed may make it impossible to execute a liquidation of the position.

No account executive or other representative of USIG is authorized or permitted to make any statement or representation at variance with, or which tends to minimize or negate, any or all of the above statements, or to guarantee or to assure you that your account will be profitable. If any account executive or other representative of USIG does so, you may not rely upon such statement or representation, and we urge you to advise the Compliance Department immediately.

I hereby acknowledge that I have read and that I understand all information set forth above, and consent to the taping procedure described herein.

\_\_\_\_\_  
CLIENT'S NAME (please print)

\_\_\_\_\_  
CLIENT'S SIGNATURE

\_\_\_\_\_  
DATE

\_\_\_\_\_  
CLIENT'S NAME (please print)

\_\_\_\_\_  
CLIENT'S SIGNATURE

\_\_\_\_\_  
DATE



## UNITED STRATEGIC INVESTORS GROUP

### COMMODITY OPTIONS FEE RIDER

The commission charged in connection with the execution of a commodity option is

#### **Round-Turn Commission**

\$74.00 per option

Commodity option clients will realize a profit if the amount generated from the option transaction exceeds the premium commission and other fees paid.

The compensation payable to United Strategic Investors Group (USIG) has been arbitrarily determined. Compensation for similar services varies within the commodities industry. This compensation payable to USIG may exceed rates and fees charged by others performing similar services. Moreover, such compensation may exceed rates and fees charged by USIG to its other clients.

#### **Other Fees**

In addition to the commission described above, a flat fee in the amount of twenty five dollars (\$25.00) is charged to the client on each opening option position. This fee defrays USIG's costs incurred in clearing and processing the transaction, postage, courier and FedEx service. This initial twenty five dollars (\$25.00) flat fee is also used to cover the cost in closing each option position, and thus is considered a round-turn fee, or commission. To the extent that it can be determined that actual costs incurred in any particular account do not equal twenty five dollars (\$25.00) on any given transaction, this charge is additional commission.

The National Futures Association (NFA) charges the client ten cents (\$0.02) per option when opening a position, and again when closing the position.

### FUTURES RIDERS

The commission charged in connection with the execution of a commodity futures contract is

#### **Round-Turn Commission**

\$74.00 per contract

### DEEP-OUT-OF-THE-MONEY OPTIONS

A person considering the purchase of a deep-out-of-the-money option (i.e. an option with a strike price significantly above, in the case of a "call" or significantly below, in the case of a "put", the current price of the underlying futures contract) should be aware that the chance of such an option becoming profitable is remote.

A person considering selling ("writing" or "granting") a deep-out-of-the-money option should be aware that such options typically provide small premiums, while exposing the seller to all of the potential losses described in section (3) of the Disclosure Statement.

### ACKNOWLEDGMENT

I acknowledge that I have received the "Risk Disclosure Statement for Futures and Options". Furthermore, I have read the document carefully and understand the concepts of trading futures and options on futures contracts described in the Statement.

I acknowledge that I have read and understand the foregoing information and agree to all of the terms and conditions hereof.

Date \_\_\_\_\_  
X \_\_\_\_\_

Signature:

Print Name: \_\_\_\_\_

Signature: X \_\_\_\_\_

Print Name: \_\_\_\_\_

